



Receipt

CERTIFICATE OF MAILING

I hereby certify that this **REQUEST FOR CORRECTED FILING RECEIPT** is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on this

13th day of September 2001.

By Barbara J. Whaley
Barbara J. Whaley

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	HARPER, et al.	
For	:	RANDOM SAMPLING AS A BUILT-IN FUNCTION FOR DATABASE ADMINISTRATION AND REPLICATION	RECEIVED
Serial No.	:	09/897,803	ACT 11 200
Filed	:	July 2, 2001	Technology Center 2100
Group Art Unit	:	2171	
Examiner	:	Unknown	
Last Office Action	:	None	
Attorney Docket No.	:	IBM 2 0002/STL920000104US1	

Assistant Commissioner for Patents
Washington, DC 20231
Attention: **Application Processing Division**
Customer Correction Branch

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.
2. There is an error in that the following data is:

_____ incorrectly entered
and/or

X omitted
____ Applicant's name
____ Applicant's address
____ Title
____ Filing Date
____ Serial Number
____ Foreign/PCT Application Reference
 X Other Attorney Docket No.

in that the Filing Receipt should read as follows:

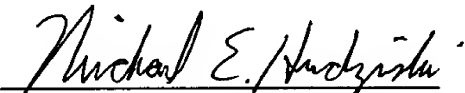
ATTY. DOCKET NO.: IBM 2 0002/STL920000104US1

3. _____ The correction is not due to any error by
Applicant and no fee is due

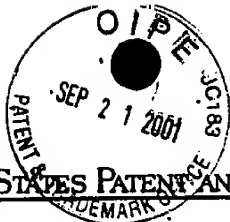
OR

 X The correction is due to Applicant's error
and the fee therefor under 37 C.F.R. §1.19(h)
of \$25.00 is paid as follows:
 X enclosed is a check for \$25.00
_____ charge Deposit Account No. 06-
0308 in the amount of \$ _____

Respectfully submitted,



Michael E. Hudzinski
Reg. No. 34,185
FAY, SHARPE, FAGAN,
MINNICH & MCKEE, LLP
1100 Superior Avenue
7th Floor
Cleveland, Ohio 44114-2518
(216) 861-5582

**RECEIVED**

AUG 24 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

FAY, SHARPE, BEALL, FAGAN,
MINNICH & MCKEECOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/897,803	07/02/2001	2171	782	IBM 2 0002	2	24	3

STL920000104US

CONFIRMATION NO. 2493

Michael E. Hudzinski
FAY, SHARPE, FAGAN,
MINNICH & MCKEE, LLP
1100 Superior Avenue, Seventh Floor
Cleveland, OH 44110-2518

FILING RECEIPT

OC000000006456267

Date Mailed: 08/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

RECEIVED

OCT 11 7

Technology Center 2100

Applicant(s)

John William Harper, San Jose, CA;
Gordon Robert Sliselman, Morgan Hill, CA;

Assignment For Published Patent Application

International Business Machines Corporation;

Domestic Priority data as claimed by applicant**Foreign Applications**

If Required, Foreign Filing License Granted 08/21/2001

Projected Publication Date: 01/02/2003

Non-Publication Request: No

Early Publication Request: No

"DOCKETED"**Title**

Random sampling as a built-in function for database administration and replication

Preliminary Class

707

Data entry by : MA, WEI-WEN

Team : OIPE

Date: 08/21/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 2493

SERIAL NUMBER 09/897,803	FILING DATE 07/02/2001 RULE	CLASS 707	GROUP ART UNIT 2171	ATTORNEY DOCKET NO. IBM 2 0002/STI920000104US
------------------------------------	---	---------------------	-------------------------------	--

APPLICANTS

 John William Harper, San Jose, CA;
 Gordon Robert Sliselman, Morgan Hill, CA;

** CONTINUING DATA *****

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 08/21/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 2	TOTAL CLAIMS 24	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

 Michael E. Hudzinski
 FAY, SHARPE, FAGAN,
 MINNICH & McKEE, LLP
 1100 Superior Avenue, Seventh Floor
 Cleveland, OH 44110-2518

TITLE

Random sampling as a built-in function for database administration and replication

FILING FEE RECEIVED 782	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit